

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of:  Grubbs et al.  Serial No: Filed: Confirmation No.:  <b>Title: AUTOMATED ELECTRONIC MESSAGE FILING SYSTEM</b>	: Group Art Unit:  : Intellectual Property Law Department : International Business Machines Corp. : 11400 Burnet Road : Austin, Texas 78758
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DATE: 1/19/04INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of the one item required to be included with PTO-1449 is supplied herewith. The requirement to include copies of U.S. patents is waived. See 37 CFR 1.491(b).

Respectfully submitted,



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In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

Serial No. \_\_\_\_\_  
Applicant: Keohane et al.  
Filing Date: \_\_\_\_\_  
Group: \_\_\_\_\_  
Atty. Docket No. AUS920030921US1

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Reference Designation

**U. S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date if Appropriate
AA	US6,609,138B1	8/19/2003	E-MAIL LIST ARCHIVING AND MANAGEMENT	707	204	3/08/1999
AB	US6,587,549B1	7/01/2003	DEVICE FOR AUTOMATICALLY INCOMING ELECTRONIC MAIL (=E-MAIL)	379	93.24	5/09/2000
AC	US2003/0065728A1	4/03/2003	FILING AND RETRIEVAL OF E-MAIL INTO PERSON ASSOCIATED FOLDERS	709	206	9/09/2002
AD	US2003/0033271A1	2/13/2003	TRANSFER OF MAIL FOLDERS BETWEEN E-MAIL USERS	707	1	9/09/2001
AE	US2002/0133557A1	9/19/2002	SORTING E-MAIL	709	207	4/03/2001
AF	US 6,396,513 B1	5/28/2002	ELECTRONIC MESSAGE SORTING AND NOTIFICATION SYSTEM	345	752	5/14/1996
AG	US 6,226,630 B1	5/1/2001	METHOD AND APPARATUS FOR FILTERING INCOMING INFORMATION USING A SEARCH ENGINE AND STORED QUERIES DEFINING USUER FOLDERS	707	3	7/22/1998

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**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation
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**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner Initial

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.